



Rope Access Evaluation - Host Preparation Checklist

This optional form is a prompt to ensure that an Evaluation Session Host and candidates are prepared for a rope access evaluation. References are provided when appropriate to SPRAT's *Rope Access Certification Requirements (RCR)*, *Rope Access Evaluation Guidelines (REG)*, and *Safe Practices for Rope Access Work (SP)*.

Evaluation Session Host: _____ **Evaluation Date:** _____

Evaluation Session Host Information:

- SPRAT Company or Company Premier membership in place for calendar year. REG 2.2.1.1.
- Host Agreement for calendar year submitted and approved in SPRAT system. REG 2.2.1.2.
- Insurance documentation submitted and approved in SPRAT system. REG 2.2.1.3.
- Site (Table 1), site station (Table 2), and equipment (Table 3) requirements are met. REG 2.2.1.4.
- Evaluation established and evaluator assigned in SPRAT system. REG 2.2.1.5., REG 2.2.1.6.
- Written test administration discussed with assigned evaluator. REG 3.

Candidate Information:

- All candidates accommodated (maximum of 8 candidates per rope access evaluation). REG 2.2.1.7., REG 4.1.3.
- Candidates meet eligibility requirements. REG 2.2.1.8., RCR 3., REG 4.2.
 - Candidates ≥ 18 years old.
 - Experience requirements verified.
 - Total hours.
 - Hours since initial certification to current level.
 - Sufficient time at current level.
 - Direct Entry approval verified (as necessary). REG 2.2.1.9., REG 9.
- Candidates meet training requirements. REG 2.2.1.8., RCR 3.
 - SPRAT documentation available to candidates.
 - Rope Access Evaluation - Candidate Preparation Form.*
 - Safe Practices for Rope Access Work.*
 - Rope Access Certification Requirements.*
 - Defined Terms.*
 - Rope Access Evaluation Guidelines.*
 - Equipment Guidelines.*
 - Clearance Requirement Guidelines.*
 - Presiding Regulatory Authority Reference.*
 - Evaluation Rubric.*
 - Privacy Policy.*
- Candidates presented certification requirement information for their testing level (Table 4).
- Evaluation roster completed. REG 2.2.1.10.
 - Candidates added to roster (search first by SPRAT number, then by email address).
 - Candidate photos added to roster (passport style).
- Candidates access to SPRAT system and personal information verified. REG 2.2.1.11.

Public Documentation



<https://sprat.org/publications>

Account Issue Report Form



<https://sprat.org/issue-report-form/>

Evaluation Session Host Feedback



<https://sprat.org/sprat-host-feedback-form>

TABLE 1 SITE GENERAL REQUIREMENTS AND RECOMMENDATIONS

General

- Site suitable to facilitate evaluation and administer written test. **REG 10.1.**
REG 10.1.2.
- Equipment meets requirements of *Safe Practices for Rope Access Work* and presiding regulatory authority. *REG 10.1.2.1*
- No major adjustments required during evaluation to complete requirements. *REG 10.1.2.2.*
- Current SPRAT documentation available. *REG 10.1.2.3.*
- Manufacturer instructions of equipment available. *REG 10.1.2.4.*
- [Poster](#) depicting evaluation scheme for candidates to track their progress provided (recommended). *REG 10.1.2.5.*
- White board or blank paper and implements provided. *REG 10.1.2.6.*
- Suitable locations to observe candidates accommodated. *REG 10.1.2.7.*
- Vertical separation ≤ 15 m (49 ft) between requirement completion and next lower level or ground. *REG 10.1.2.7.1.*

Site Safety

- Access work plan completed ([SPRAT access work plan checklist](#)). **REG 10.2.**
REG 10.2.1., SP 9.
- Hazard and fall zones identified and marked. *REG 10.2.2., SP 10.*
- Candidate emergency contact information available. *REG 10.2.3.*
- Provision made to ensure no conflicting activities. *REG 10.2.4.*
- Provision made to ensure prompt rescue. *REG 10.2.5., SP 16.*
- First aid kit and fire extinguisher available. *REG 10.2.6.*
- Emergency egress signage and lighting provided as appropriate. *REG 10.2.7.*

Site Environment

- Appropriate noise (recommended < 85 dBA) and lighting levels (recommended 300-800 lux). **REG 10.3.**
REG 10.3.1.

Recommendations

- Site protected from adverse weather. *REG 10.3.2.*
- Temperature regulated between 7° C (45° F) and 38° C (100° F). *REG 10.3.3.*
- Ventilation to ensure ≥ 4 air changes per hour in place. *REG 10.3.4.*

Platform

- Platform located ≥ 2.5 m (8.3 ft) above the next lower level. **REG 10.4.**
REG 10.4.1.
- Platform accommodates ≥ 4 people. *REG 10.4.3.*

Recommendations

- Platform accessible without use of fall protection systems. *REG 10.4.2.*
- Platforms accommodate ≥ 8 people. *REG 10.4.3.1.*
- Platform accommodates fall zone extending ≥ 3 m (9.9 ft) along one edge. *REG 10.4.4.*

Anchorage Systems

- Uninterrupted ascent and descent of ≥ 5 m (16.5 ft) accommodated. **REG 10.5.**
REG 10.5.1.
- Requirements of *Safe Practices for Rope Access Work* met. *REG 10.5.2.*

Recommendations

- Documented inspection report prepared by professional structural engineer. *REG 10.5.2.1.*
- Clearance requirements of fall protection systems accommodated. *REG 10.5.3.*

TABLE 2 SITE STATION REQUIREMENTS AND RECOMMENDATIONS

General

- Stations in each category distinct and able to be occupied simultaneously. *REG 11.1.*
- Required number of each station: 1 (1-4 candidates). 2 (5-8 candidates). *REG 11.1.2.*
- Recommended number of each station: ≥ 4 . *REG 11.1.3.*

Maneuvers

REG 11.2.

- Edge negotiation with rope protection. *REG 11.2.1.*
- Deviation. *REG 11.2.2.*
- Rope-to-rope transfer. *REG 11.2.3.*
- Re-anchor. *REG 11.2.4.*
- Horizontal aid climbing. *REG 11.2.5.*
- Vertical aid climbing. *REG 11.2.6.*

System Construction

- Two-rope system construction. *REG 11.3.*
- Two-rope system for edge negotiation. *REG 11.3.1.*
- Retrievable two-rope system. *REG 11.3.2.*
- Deviation and re-anchor construction. *REG 11.3.3.*

System Operation

REG 11.4.

- Ground/platform raising and lowering. *REG 11.4.1.*
- Suspended raising and lowering. *REG 11.4.2.*
- Tensioned rope systems. *REG 11.4.3.*

Rescue

REG 11.5.

- Rescue from adjacent rope set. *REG 11.5.1.*
- Rescue from fall arrest system. *REG 11.5.2.*

TABLE 3 SITE EQUIPMENT REQUIREMENT AND RECOMMENDATION CHECKLIST

Candidate Individual Equipment

REG 12.1.

- Helmet. *REG 12.1.1.1.*
- Harness. *REG 12.1.1.2.*
- Chest ascender. *REG 12.1.1.3.*
- Hand ascender with lanyard and foot loop. *REG 12.1.1.4.*
- 2 backup devices with connecting equipment. *REG 12.1.1.5.*
 - 1 backup device suitable for completing rescue requirements. *REG 12.2.1.5.1.*
- Descender. *REG 12.1.1.6.*
- Carabiners to accommodate personal equipment. *REG 12.1.1.7.*
- Protective equipment required by the site access work plan. *REG 12.1.1.8.*

Shared Equipment (recommendations indented)

REG 12.2.

- 4 additional backup devices with connecting equipment. *REG 12.2.1.*
 - 1 additional backup device with connecting equipment per candidate. *REG 12.2.1.1.*
- 4 additional descenders shall be available. *REG 12.2.2.*
 - 1 additional descender per candidate. *REG 12.2.2.1.*
- Sufficient hardware. *REG 12.2.3.*
 - ≥ 40 carabiners. *REG 12.2.3.1.*
 - ≥ 16 ascenders. *REG 12.2.3.2.*
 - ≥ 16 pulleys. *REG 12.2.3.3.*
 - ≥ 4 plates or rings. *REG 12.2.3.4.*
- Sufficient ropes. *REG 12.2.4.*
 - ≥ 8 ropes with length of approximately 3 m (10 ft). *REG 12.2.4.1.*
 - ≥ 8 ropes with length between 7.5 m (25 ft) and 15 m (50 ft). *REG 12.2.4.2.*
 - ≥ 8 ropes with length of between 15 m (50 ft) and 30 m (99 ft). *REG 12.2.4.3.*
- Sufficient slings and other anchorage connectors. *REG 12.2.5.*
 - ≥ 16 slings. *REG 12.2.5.1.*
- One mass of ≥ 45 kg (100 lb) per four candidates. *REG 12.2.6.*
 - ≥ 4 masses of ≥ 45 kg (100 lb). *REG 12.2.6.1.*
 - ≥ 1 mass of ≥ 70 kg (155 lb) incorporating or simulating a rope access harness per four candidates. *REG 12.2.6.2.*
- One energy absorbing lanyard for Rescue: From Fall Arrest (*RCR 7.12*) per four candidates. *REG 12.2.7.*
- Additional considerations. *REG 12.3.*
 - Work seats. *REG 12.3.1.*
 - Energy absorbing lanyards or other fall arrest systems. *REG 12.3.2.*
 - Adjustable positioning lanyards. *REG 12.3.3.*

TABLE 4 ROPE ACCESS CERTIFICATION REQUIREMENTS FOR ALL LEVELS



	Level 1	Level 2	Level 3
General			
Performance Principles	6.1	7.2	8.2
System Analysis		7.3	8.3
Maneuvers			
Fundamental Maneuvers	6.2		
Edge Negotiation with Rope Protection	6.3		
Deviation	6.4		
Knot Pass	6.5		
Rope-to-Rope Transfer (> 2 m)	6.6		
Re-anchor (> 2 m)	6.7		
Aid Climbing			
Horizontal	6.8		
Vertical		7.4	
System Construction and Operation			
Knots: <input type="checkbox"/> Termination <input type="checkbox"/> Middle <input type="checkbox"/> Stop <input type="checkbox"/> Join	6.9		
Two-Rope System			
Anchorage < 1 m apart	6.10		
Edge Negotiation, Anchorages 1 m - 4 m apart		7.5	
Retrievable Two-Rope System		7.6	
Deviation or Re-anchor			8.4
Raising and Lowering			
Ground or Platform-Based	6.11		
Suspended Candidate		7.7	
Suspended Load		7.8	
Through Knots			8.5
Lateral Movement			
Cross-Haul		7.9	
Tensioned Rope System			8.6
Remote Rescue System		7.10	
Rescue			
Adjacent Rope Set, Ascent to Descent Transition	6.12	* Note 6	* Note 6
Same Rope Set, Rope-to-Rope Transfer		7.11	
From Fall Arrest System		7.12	
From Maneuver 1			8.7
From Maneuver 2			8.7
* See Note 6			

Notes for Use:

1. Evaluation Session Host should mark each row of a certification level when candidates have been prepared for each requirement.
2. Level column numbers correspond to SPRAT's *Rope Access Certification Requirements (RCR)* and *Rope Access Evaluation Guidelines (REG)*.
3. Candidates shall complete all white boxes in their testing level (*REG 5.1.2*).
4. Currently certified candidates shall complete at least 50% of gray boxes in each category of their testing level (*REG 5.1.3*).
5. Direct Entry and candidates with expired certifications shall complete all gray boxes in their testing level (*REG 5.1.4*).
- *6. Upper-level candidates may satisfy the Rescue: Adjacent Rope Set, Ascent to Descent Transition requirement (*RCR 6.12*) by performing an ascent-to-descent transition of a rescue subject during another rescue on the same two-rope system (*REG 5.1.2.1*).